

**CYNGOR SIR POWYS COUNTY COUNCIL.**

**CABINET EXECUTIVE**  
**Tuesday, 11th February 2020**

**REPORT AUTHOR:** County Councillor Graham Breeze  
Portfolio Holder for Corporate Governance and  
Engagement

**REPORT TITLE:** Strategic Risk Register Report Quarter 3 2019/2020

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**REPORT FOR:** Decision

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**1. Purpose**

1.1 The purpose of this report is to set out the council's latest position on managing its key risks, including those on the Strategic Risk Register. It also outlines risks recommended for escalation to the Strategic Risk Register and an update on risk champion training.

**2. Background**

2.1 Our Strategic Risk Register is key to safeguarding the organisation and building resilience into our services. At a time when the council is facing unprecedented challenges, the effective management of risk is needed more than ever. A risk-managed approach to decision making will help us to achieve the well-being objectives in Vision 2025 and deliver services more efficiently, using innovative and cost-effective means.

**3. Advice**

3.1 To ensure a risk managed approach to decision making and good governance of the Council, it is proposed that Cabinet:

- Review progress to mitigate Strategic Risks
- Approve escalation of risks to the Strategic Risk Register
- Note the forthcoming training for Risk Champions

**Review of progress to mitigate Strategic Risks**

3.2 As at the end of quarter 3 2019-2020, there are 11 risks on the strategic risk register All risk owners have provided a short summary of progress since quarter 2, to give assurance that mitigating actions are being actioned and monitored.

3.3 Please see appendix A for full details of the 11 strategic risks, and progress against the mitigating actions identified to control them.

3.4 Please see appendix B to view a heat map which presents the results of the quarter 3 risk assessment process visually. It highlights that two out of the eleven risks are placed within the 'major' impact category with a probability of almost certain, and none with 'catastrophic' impact.

#### Approval to escalate risks to the Strategic Risk Register

3.5 During quarter 3, the following risk has been identified and it is recommended that it is escalated to the Strategic Risk Register for monitoring.

- **Compliance in Powys County Council Housing Stock.**

**Justification:** Compliance within Housing requires a programme of improvement to meet the high standards expected by the Council, identified in the Compliance One Hundred project review of compliance. It is therefore considered to be a corporate risk and has been escalated on the advice of EMT.

**Mitigating action:**

1. Establishment of a Compliance and Assurance Board chaired by the Head of Housing and Community Development.
2. Establish a special Compliance One Hundred Project Team to address the six Priority Areas (Inspection and Servicing of Domestic Heating Systems, Fire Safety, Lifting Operations and Lifting Equipment Regulations (LOLER), Asbestos Management, Legionella Management and Fixed Electrical Testing (FET).

	<b>Inherent Risk</b>	<b>Residual Risk</b>
<b>Risk Probability:</b>	Likely	Likely
<b>Risk Impact:</b>	Major	Major
<b>Risk Profile:</b>	Severe	Severe

#### To note the forthcoming training for Risk Champions

3.6 Risk management training has been organised for all services' nominated risk champions and is due to take place on 14<sup>th</sup> February 2020. During the training, risk appetite will be explored to enable risk champions to aid their service in setting a risk appetite and ensuring that we fulfil the recommendation of the SWAP audit.

## **4. Resource Implications**

4.1 The Head of Finance (Section 151 Officer) has approved the report. The Strategic Risk Register outlines the key risks to the Council's activities, as well as risk to delivery of objectives contained within the Corporate Improvement

Plan. There are no direct financial implications from the report although these may arise as new risks are identified on an on-going basis.

## **5. Legal implications**

5.1 Comment sought from Monitoring Officer.

## **6. Data Protection**

6.1 N/A

## **7. Comment from local member(s)**

7.1 N/A

## **8. Integrated Impact Assessment**

8.1 N/A The Service Risk Register is not setting out any changes or proposals to service delivery.

## **9. Recommendation**

**It is recommended that:**

- **Cabinet notes the current Strategic Risk Register and is satisfied with progress against mitigating action for quarter 3.**
- **Cabinet approves the following risk to be escalated to the Strategic Risk Register:**
  - 1. Compliance in Powys County Council Housing Stock**

**The recommendations above will ensure:**

- **appropriate understanding and management of strategic risks which could prevent us from achieving our objectives.**
- **a risk managed approach to decision making and good governance of the Council.**

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